

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE

MICROSOFT CORPORATION,

Plaintiff,

v.

ALCATEL-LUCENT ENTERPRISE and
GENESYS TELECOMMUNICATIONS
LABORATORIES, INC.,

Defendants.

C.A. No. 07-090-SLR

NOTICE OF SUBPOENA

TO: COUNSEL OF RECORD

PLEASE TAKE NOTICE that on December 27, 2007, plaintiff Microsoft Corporation served the attached subpoena on **Mandarin Oriental Hotel Group, Ltd.**, at 509 Madison Avenue, New York, NY 10022.

PLEASE TAKE FURTHER NOTICE that plaintiff, by its counsel, will take the deposition of **Mandarin Oriental Hotel Group, Ltd.** on **January 14, 2008, at 9:00 a.m.**, at Fish & Richardson P.C., Citigroup Center, 52nd Floor, 153 East 53rd Street, New York, NY 10022-4611. The deposition will continue from day to day, if necessary, until completed, and will be taken before a Certified Shorthand Reporter or other officer authorized to administer oaths and take depositions. All deposition testimony will be recorded by stenographic, audio, and/or audiovisual means. You are invited to attend and examine the witness.

Dated: December 28, 2007

Respectfully submitted,
MICROSOFT CORPORATION

By its attorneys,

/s/ Thomas L. Halkowski
Thomas L. Halkowski (#4099)
FISH & RICHARDSON P.C.
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Issued by the
UNITED STATES DISTRICT COURT

Southern

DISTRICT OF

New York

MICROSOFT CORP.,

Plaintiff,

v.

ALCATEL-LUCENT ENTERPRISE
 and GENESYS TELECOMMUNICATIONS
 LABORATORIES, INC.,
 Defendants.

SUBPOENA IN A CIVIL CASECASE NUMBER: _____¹

Underlying case: 07-90-SLR (D. Del.)

TO: Mandarin Oriental Hotel Group, Ltd., 1775 Broadway, Suite 310, New York, NY 10019

☐ YOU ARE COMMANDED to appear in the United States District Court at the place, date, and time specified below to testify in the above case.

PLACE OF TESTIMONY

COURTROOM

DATE AND TIME

☒ YOU ARE COMMANDED to appear at the place, date, and time specified below to testify at the taking of a deposition in the above case. This deposition may be taken via court reporter and videographer

PLACE OF DEPOSITION

Fish & Richardson P.C., Citigroup Center, 52nd Floor, 153 East 53rd Street, New
 York, New York 10022-4611

See Schedule A for list of deposition topics.

DATE AND TIME

January 14, 2008

9:00 AM

☒ YOU ARE COMMANDED to produce and permit inspection and copying of the following documents or objects at the place, date and time specified below (list documents or objects):
 See Schedule A

PLACE

Fish & Richardson P.C., Citigroup Center, 52nd Floor, 153 East 53rd Street, New
 York, New York 10022-4611

DATE AND TIME

January 10, 2008

9:00 AM

☐ YOU ARE COMMANDED to permit inspection of the following premises at the date and time specified below.

PREMISES

DATE AND TIME

Any organization not a party to this suit that is subpoenaed for the taking of a deposition shall designate one or more officers, directors, or managing agents, or other persons who consent to testify on its behalf, and may set forth, for each person designated, the matters on which the person will testify. Federal Rules of Civil Procedure, 30(b)(6).

ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF ATTORNEY FOR PLAINTIFF OR DEFENDANT)

I. Mukerji (by permission RPC)
 Attorney for Microsoft Corp.

DATE

December 26, 2007

ISSUING OFFICER'S NAME, ADDRESS AND PHONE NUMBER

Indranil Mukerji, Fish & Richardson P.C., 1425 K St. NW,
 Suite 1100, Washington, DC 20005, Tel: (202) 783-5070

PROOF OF SERVICE

DATE	PLACE
SERVED	
SERVED ON (PRINT NAME)	MANNER OF SERVICE
SERVED BY (PRINT NAME)	TITLE

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on _____
DATE

SIGNATURE OF SERVER

ADDRESS OF SERVER

Rule 45, Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e), as amended on December 1, 2007**(c) PROTECTING A PERSON SUBJECT TO A SUBPOENA.**

(1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The issuing court must enforce this duty and impose an appropriate sanction — which may include lost earnings and reasonable attorney's fees — on a party or attorney who fails to comply.

(2) Command to Produce Materials or Permit Inspection.

(A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) Objections. A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or to inspecting the premises — or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

(i) At any time, on notice to the commanded person, the serving party may move the issuing court for an order compelling production or inspection.

(ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

(3) Quashing or Modifying a Subpoena.

(A) When Required. On timely motion, the issuing court must quash or modify a subpoena that:

(i) fails to allow a reasonable time to comply;

(ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person — except that, subject to Rule 45(c)(3)(B)(iii), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held;

(iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or

(iv) subjects a person to undue burden.

(B) When Permitted. To protect a person subject to or affected by a subpoena, the issuing court may, on motion, quash or modify the subpoena if it requires:

(i) disclosing a trade secret or other confidential research, development, or commercial information;

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party; or

(iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial.

(C) Specifying Conditions as an Alternative. In the circumstances described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a

subpoena, order appearance or production under specified conditions if the serving party:

(i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and

(ii) ensures that the subpoenaed person will be reasonably compensated.

(d) DUTIES IN RESPONDING TO A SUBPOENA.

(1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:

(A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.

(B) Form for Producing Electronically Stored Information Not Specified. If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(C) Electronically Stored Information Produced in Only One Form. The person responding need not produce the same electronically stored information in more than one form.

(D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) Claiming Privilege or Protection.

(A) Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:

(i) expressly make the claim; and

(ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.

(B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information to the court under seal for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(e) CONTEMPT. The issuing court may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena. A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(c)(3)(A)(ii).

UNITED STATES DISTRICT COURT, SOUTHERN DISTRICT OF NEW YORK

Plaintiff
MICROSOFT CORP.,
vs.
Defendant
ALCATEL-LUCENT ENTERPRISE ET AL.,

Case No.: 07-90-SLR
Hearing Date: 01/14/2008
Attorney of Record:

AFFIDAVIT OF SERVICE

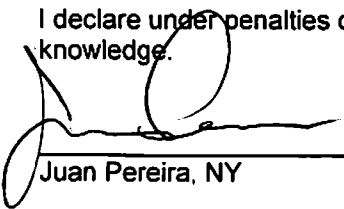
Received this on 27th day December 2007
I, Juan Pereira, being duly sworn, depose and say, I have been duly authorized to make service of the document(s) listed herein in the above styled case, I am over the age of 18, and am not a party to or otherwise interested in this matter.

That on Dec 27 2007 10:00AM, I executed service of LETTER; SUBPOENA IN A CIVIL CASE;
SCHEDULE A on MANDARIN ORIENTAL HOTEL GROUP, LTD. at 509 MADISON Avenue, NY, NY

By Personal Service to: Wen Young, Sales Manager authorized to accept legal papers, An Asian female approx. 25-30 years of age 5'4"-5'6" in height weighing 120-140 lbs with black hair

Military Status: Not in Military

I declare under penalties of perjury that the information contained herein is correct to the best of my knowledge.



Juan Pereira, NY

Execute on Dec 27, 2007

State of New York County of NA
Subscribed and sworn to before me, a notary public on Dec 27, 2007

NA Federal Papers

Notary Public

FOR: Fish & Richardson -DC (PFI)
REF: MANDARIN ORIENTAL

Order No. 4964542 SEA



SCHEDULE A

**Subpoena in a Civil Case to
Mandarin Oriental Hotel Group, Ltd.**

DEFINITIONS

The following definitions shall apply throughout these requests, regardless of whether upper or lower case letters are used:

- A. “Mandarin Oriental,” “You,” and “Your” refers to (1) Mandarin Oriental Hotel Group Ltd. and any parent, subsidiaries and divisions, (2) any successors and predecessors thereto, and (3) any and all persons or entities acting or purporting to act on Mandarin Oriental Hotel Group Ltd.’s behalf, including but not limited to all past and present employees, officers, directors, agents, consultants, partners, affiliates, associates, attorneys or representatives thereof.
- B. “Defendants” refers to (1) defendant Alcatel Lucent Enterprise (“Alcatel”) (previously known as Alcatel Business Systems) and/or Genesys Telecommunications Laboratories, Inc. (“Genesys”), (2) any successors and predecessors thereto, and (3) any and all persons or entities acting or purporting to act on Alcatel and/or Genesys’s behalf, including but not limited to all past and present employees, officers, directors, agents, consultants, partners, affiliates, associates, attorneys or representatives thereof.
- C. “Pinnacle Communications” refers to Pinnacle Communications Corporation, 19821 Executive Park Circle, Germantown, Maryland 20874, and any parent, subsidiaries and divisions, (2) any successors and predecessors thereto, and (3) any and all persons or entities acting or purporting to act on Pinnacle Communications Corporation’s behalf, including but not limited to all past and present employees, officers, directors, agents, consultants, partners, affiliates, associates, attorneys or representatives thereof.

D. “Microsoft” or “Plaintiff” refer to (1) Microsoft Corporation and any parent, subsidiaries and divisions, (2) any successors and predecessors thereto, and (3) any and all persons or entities acting or purporting to act on Microsoft’s behalf, including but not limited to all past and present employees, officers, directors, agents, consultants, partners, affiliates, associates, attorneys or representatives thereof.

E. “Relating to,” “related to,” or “relate to” means and includes concerning, reflecting, alluding to, mentioning, regarding, discussing, bearing upon, commenting on, constituting, pertaining to, demonstrating, describing, depicting, referring to, summarizing, containing, embodying, showing, comprising, evidencing, refuting, contradicting, and/or supporting.

F. “Referring to,” “refers to,” or “refer to” means and includes relating to, reflecting, alluding to, mentioning, regarding, discussing, bearing upon, commenting on, constituting, pertaining to, demonstrating, describing, depicting, concerning, summarizing, containing, embodying, showing, comprising, evidencing, refuting, contradicting and/or supporting.

G. “Concern” or “concerning” means relating to, embodying, containing, comprising, constituting, indicating, referring to, identifying, describing, discussing, involving, supporting, reflecting, evidencing, or otherwise in any way pertaining directly or indirectly to.

H. “Document” is defined broadly to be given the full scope of that term contemplated in Federal Rules of Civil Procedure 26 and 34, and includes all tangible things, all originals (or, if originals are not available, identical copies thereof), all non-identical copies of a document, all drafts of final documents, all other written, printed, or recorded matter of any kind, and all other data compilations from which information can be obtained and translated if necessary, that are or have been in your actual or constructive possession, custody or control, regardless of the medium on which they are produced, reproduced, or stored (including without limitation computer

programs and files containing any requested information), and any recording or writing, as these terms are defined in Rule 1001, Federal Rules of Evidence. Any document bearing marks, including without limitation, initials, stamped initials, comments, or notations not a part of the original text or photographic reproduction thereof, is a separate document.

I. “Person” shall mean any natural person or any business, proprietorship, firm, partnership, corporation, association, organization, or other legal entity. The acts of a Person shall include the acts of directors, officers, owners, members, employees, agents, attorneys or other representatives acting on the Person’s behalf.

J. “Communication” means any contact, oral or written, whereby information of any nature is transmitted or transferred, including without limitation, a person(s) seeing or hearing any information by any means and any document memorializing or referring to the contact.

K. “Entity” means, including without limitation, corporation, company, firm, partnership, joint venture, association, governmental body or agency, or persons other than a natural person.

L. “Accused Product” or “Accused Products” means and includes all of (1) Alcatel-Lucent’s unified communication systems, products used with such systems, and components thereof, including but not limited to all models and versions of the OmniPCX Enterprise, OmniPCX Office, and OmniTouch Unified Communication software and/or application suite (including but not limited to My Assistant, My Phone, My Teamwork, My Messaging), My Instant Communicator software and/or application suite, other unified messaging systems, and all components thereof; and/or (2) Genesys’s call center products, including hardware and software applications, and components thereof.

M. “Product” means a machine, manufacture, apparatus, device, instrument, mechanism, appliance, assemblage of components/parts (either individually or collectively), process or

method which are designed to function together electrically, mechanically, or otherwise, to achieve a particular function our purpose, including those offered for sale, sold, or under development.

N. “Any” and “all” shall be construed to mean both any and all.

O. The words “and” and “or” shall be construed conjunctively or disjunctively, whichever makes the request most inclusive.

P. The singular form of a word should be interpreted in the plural as well, and vice versa.

Q. “Present Lawsuit” means the action styled Microsoft Corp. v. Alcatel-Lucent Enterprises and Genesys Telecommunications Laboratories, Inc., Civil Action No. 07-90-SLR, currently pending in the United States District Court for the District of Delaware.

INSTRUCTIONS

A. This subpoena calls for information (including any information contained in or on any document or thing) that is known or available to you, or in your possession, custody, or control, including all information known or available to your attorneys, agents, representatives, or any other person acting or purporting to act on your behalf or under the direction or control of you or your attorneys, agents, representatives or investigators.

B. You are to provide full and complete responses to the following requests, after conducting a diligent and thorough investigation into all information within your possession, custody, or control.

C. You are to quote each request in full immediately preceding the statement of any answer, response, or objection thereto.

D. For every objection to a request on the grounds of privilege, answer the request with such non-privileged information as is responsive, including the date and the sender and recipients of

the document, and then provide the basis for Your claim of privilege and all facts and circumstances related thereto.

E. If no documents are responsive to a particular request, You are to state that no responsive documents exist.

F. If a document is in a language other than English, you should provide that document. If a document is in a language other than English, and an English translation exists, You should provide both documents.

G. All documents requested are to be produced in the same file or other organizational environment in which they are maintained. For example, a document that is part of a file, docket, or other grouping, should be physically produced together with all other documents from said file, docket, or grouping, in the same order and manner of arrangement as the original.

DOCUMENT REQUESTS

1. All documents referring or relating to any offer for sale to Mandarin Oriental of any product by either any of the Defendants or Pinnacle Communications, including but not limited to marketing materials, product representations, technical specifications, proposals and responses thereto, correspondence, presentations, meeting notes, invoices, product descriptions, and all contracts or other agreements and amendments thereto.

2. All documents referring or relating to any agreement by Mandarin Oriental to purchase any product from either any of the Defendants or Pinnacle Communications, including but not limited to marketing materials, product representations, technical specifications, requests for proposals and responses thereto, correspondence, presentations, meeting notes, invoices, product descriptions, and all contracts or other agreements and amendments thereto.

3. All documents referring or relating to any product that has been purchased by Mandarin Oriental from either any of the Defendants or Pinnacle Communications, whether any such product has been delivered, installed or paid for, including but not limited to marketing materials, product representations, technical specifications, requests for proposals and responses thereto, correspondence, presentations, meeting notes, invoices, product descriptions, and all contracts or other agreements and amendments thereto.

4. All documents referring or relating to the capabilities, features, and/or functions of the products that have been offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications, including those generally related to telephony networks, data networks, and unified messaging, and those specifically relating to the Accused Products.

5. All documents referring to or relating to services related to or associated with the products offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications, including assessment, design, customization, testing, and implementation.

6. All documents referring to or relating to completed, partial and/or scheduled delivery, installation, and maintenance of products that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications.

7. All documents relating to any communication between You and any of the Defendants or between You and Pinnacle Communications, including those concerning contracts, agreements or products offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle

Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications and/or the Present Lawsuit.

8. All documents identifying entities and/or personnel of Mandarin Oriental, any of the Defendants, Pinnacle Communications and/or third parties associated with any offer for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications, any agreement by Mandarin Oriental to purchase products from either any of the Defendants or Pinnacle Communications, and/or any product purchased by Mandarin Oriental from either any of the Defendants or Pinnacle Communications, including persons involved in negotiations, as well as the requirements, design, customization, delivery, installation, and/or maintenance of the products or services.

DEPOSITION TOPICS

1. All facts and circumstances relating to any offer for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications, any agreement by Mandarin Oriental to purchase products from either any of the Defendants or Pinnacle Communications, and/or any product purchased by Mandarin Oriental from either any of the Defendants or Pinnacle Communications, including but not limited to the negotiations, requests for proposals and responses thereto, correspondence, presentations, meeting notes, invoices, product descriptions, and all contracts or other agreements and amendments thereto.

2. All facts and circumstances relating to the capabilities, features, and functions of the products that have been offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications, including those generally related

to telephony networks, data networks, and unified messaging, and those specifically relating to the Accused Products.

3. All facts and circumstances relating to services related to or associated with the products offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications, including assessment, design, customization, testing, and implementation.

4. All facts and circumstances relating to completed, partial and/or scheduled delivery, installation, and maintenance of the products or services offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications.

5. All facts and circumstances relating to any communication between You and any of the Defendants or between You and Pinnacle Communications, including those concerning products offered for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications or that Mandarin Oriental has agreed to purchase or has purchased from either any of the Defendants or Pinnacle Communications and/or the Present Lawsuit.

6. All facts and circumstances related to entities and personnel of Mandarin Oriental, any of the Defendants, Pinnacle Communications and/or third parties associated with any offer for sale to Mandarin Oriental by either any of the Defendants or Pinnacle Communications, any agreement by Mandarin Oriental to purchase products from either any of the Defendants or Pinnacle Communications, and/or any product purchased by Mandarin Oriental from either any of the Defendants or Pinnacle Communications, including persons involved in negotiations, as

well as the requirements, design, customization, delivery, installation, and/or maintenance of the products or services.

CERTIFICATE OF SERVICE

I hereby certify that on December 28, 2007, I electronically filed with the Clerk of Court the attached **NOTICE OF SUBPOENA**, using CM/ECF which will send notification of such filing to the following individuals:

Jack B. Blumenfeld
Maryellen Noreika
MORRIS, NICHOLS, ARSHT & TUNNEL LLP
1201 North Market Street
P.O. Box 1347
Wilmington, DE 19899-1347
jblumenfeld@mnat.com
mnoreika@mnat.com

I also certify that copies were caused to be served on December 28, 2007 upon the following individuals via electronic mail:

Jack B. Blumenfeld
Maryellen Noreika
MORRIS, NICHOLS, ARSHT & TUNNEL LLP
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david.nelson@lw.com

/s/ Thomas L. Halkowski
Thomas L. Halkowski
halkowski@fr.com